|  |  |  |  |
| --- | --- | --- | --- |
|  |  | |  |
|  | **Aktenzeichen:** | |  |
|  |  | |  |
|  | **Ansprechpartner im Unternehmen:** | |  |
|  | *Name:* | |  |
|  |  | |  |
|  | *Funktion:* | |  |
|  |  | |  |
|  | *Tel.-Nr.:* | |  |
|  |  | |  |
|  | *E-Mail-Adresse:* | |  |
|  |  | |  |
|  |  | |  |
|  |  | Hiermit erkläre ich mein Einverständnis damit, dass ich künftig auf elektronischem Kommunikationsweg (E-Mail) durch die Bezirksregierung Münster zum Zwecke der Geldwäscheprävention kontaktiert werde. |  |
|  |
|  |
|  |  | |  |

Bezirksregierung Münster

Dezernat 34 - Geldwäscheprävention

48143 Münster

**Auskunft nach § 52 Abs. 1 Geldwäschegesetz (GwG)**

**Risikoauskunft Antiquitäten und Kunsthändler / Kunstvermittler[[1]](#footnote-1)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | 1. **Angaben zum angeschriebenen Unternehmen** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Bei dem Unternehmen handelt es sich um** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | **den Hauptsitz des Unternehmens.** | | | | | | | | | | | | | | | | | |  | | Das Unternehmen verfügt über weitere Filialen/ Niederlassungen  *(Bitte Liste der weiteren Filialen beifügen.)* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | | | **eine Filiale / Niederlassung des folgenden Unternehmens (Hauptsitz):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | Firmenname, Ort | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | * 1. **Beschäftigte im gesamten Unternehmen** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **Anzahl der Mitarbeiter (einschließlich Leitungspersonal) im gesamten Unternehmen** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **Anzahl der Mitarbeiter (einschließlich Leitungspersonal) in den Bereichen Akquise, Kasse, Kundenbuchhaltung, Verkauf oder Vertrieb** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | 1. **Angaben zum Geschäftsbetrieb – Kunst** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Tätigkeit als:** | | | | | | | | | |  | | | | | | | | **Kunsthändler** | | | | | |  | | | | | | | | | | | **Kunstvermittler[[2]](#footnote-2)1** | | | | | | | | | | | | | | |  | | | | **Kunstlagerhalter[[3]](#footnote-3)** *im Zollfreigebiet* | | | | | |  |
|  |  | | | | | *Sonstiges:* | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **2.2 Art der gehandelten / vermittelten Kunstgegenstände** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **2.3 Preisspanne in EUR**  (Brutto / von – bis) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | * 1. **In wessen Namen / auf wessen Rechnung erfolgt der Kunsthandel?** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | Im eigenen Namen | | | | | |  | | | | | | | | Als Vermittler für | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | 1. **Angaben zum Geschäftsbetrieb – Antiquitäten** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **3.1 Preisspanne der angebotenen Antiquitäten in EUR** (Brutto / von – bis) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **3.2 In wessen Namen / auf wessen Rechnung erfolgt der Antiquitätenhandel?** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | Im eigenen Namen | | | | |  | | | | | | | Als Vermittler für | | | | | | | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | 1. **Angaben zum Geschäftsbetrieb insgesamt** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **4.1 Weitere Geschäftsfelder des Unternehmens:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Durchschnittlicher Jahresumsatz des Unternehmens (€):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | Wieviel Prozent des Umsatzes entfiel im letzten Wirtschaftsjahr auf den Handel und/oder die Vermittlung von Kunstgegenständen? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Welche Vertriebswege nutzen Sie?** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Bereich KUNST: Anzahl der Transaktionen im Wert von mindestens 10.000 € pro Jahr:**   (Bar oder unbar / Annahme und Abgabe) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | 2020 | | | | | | | | | | | | | | | 2021 | | | | | | | | | | | | | | | | | 2022 | | | | | | | | | | | | | | | Lfd. Jahr | | | | | | | | | | | | |  |
|  | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | |  | | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | | |  | | | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | |  | | | Klicken oder tippen Sie hier, um Text einzugeben. | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | |  | | |  | | | | | | | | | | | | |  | | | |  | | | | | | | | | | |  | | |  | | | | | | | | | | | | | |  | |
|  | * 1. **Bereich ANTIQUITÄTEN: Anzahl der Bargeldgeschäfte ab 10.000 € pro Jahr:**   (Bargeld Annahme und Abgabe) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | 2020 | | | | | | | | | | | | | | 2021 | | | | | | | | | | | | | | | | | 2022 | | | | | | | | | | | | | | Lfd. Jahr | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | |  | |  | | | | | | | | | | | | | |  | | |  | | | | | | | | | | | |  | | | |  | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | 1. **Angaben zu Ihren Kunden – Geschäftsbetrieb gesamt** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | * 1. **Kundenkreis (Zutreffendes bitte ankreuzen - Mehrfachauswahl möglich)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | Natürliche Personen – ca. | | | | | | | | | | | | | | |  | | | % - und / oder - | | | | | | | | | | | | | |  | | | | | Juristische Personen – ca. | | | | | | | | | | | | | |  | | | | % | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | Stammkundschaft – ca. | | | | | | | | | | | | | | |  | | | % - und / oder - | | | | | | | | | | | | | |  | | | | Laufkundschaft – ca. | | | | | | | | |  | | | | | | | | | % | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  | * 1. **Zum Kundenkreis gehören auch Politisch exponierte Personen (PEP):** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | Ja | | | | | | |  | | Nein |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |  | | | | | | |  | |  |  | |
|  | **5.3 Wohn- bzw. Unternehmenssitz der Kunden** (Zutreffendes bitte ankreuzen - Mehrfachauswahl möglich) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | Inland | | | | | | |  | EU-Ausland | | | | | | | | | | | | |  | | | | | Außerhalb der EU *(Länder bitte unten angeben)* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  | 1. **Sonstige Hinweise /Anmerkungen (ggfs. auf gesondertem Blatt)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | Ich versichere die Vollständigkeit und Richtigkeit meiner Angaben. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | *Ort, Datum* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | *Firmenstempel* | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | | | | | | | | | | | | | | | | | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | |
|  | *Name (in Druckbuchstaben) und Unterschrift* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | ***Anlagen*** *(z.B. Auszug aus dem Handelsregister, Kopie der Gewerbeanmeldung etc.)* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  |  | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  |  | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | *Stand: Juli 2023* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |

1. Als Kunstvermittler gemäß § 1 Absatz 23 GwG gilt, wer gewerblich den Abschluss von Kaufverträgen über Kunstgegenstände vermittelt, auch als Auktionator oder Galerist. [↑](#footnote-ref-1)
2. [↑](#footnote-ref-2)
3. Kunstlagerhalter fallen unter das Geldwäschegesetz sofern die Lagerhaltung in einem Zollfreigebiet erfolgt (§ 2 Abs. 1 Punkt 16). [↑](#footnote-ref-3)